

PEACE AND SECURITY FUNDING INDEX

An Analysis of Global Foundation Grantmaking

2018
EDITION

Preventing and
Mitigating Conflict

Resolving Conflict and
Building Peace

Supporting Stable,
Resilient Societies



Produced by Foundation Center and the Peace and Security Funders Group



FOUNDATION
CENTER
Knowledge to build on.



PSFG
Peace and Security Funders Group



336

FOUNDATIONS MADE

2,908

GRANTS TOTALING

\$351 Million

IN 2015

Introduction



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Since its launch in 2016, the *Peace and Security Funding Index* has helped define the field of peace and security grantmaking. Each year, we collect and categorize thousands of grants awarded by hundreds of foundations working on peace and security issues across the globe. In 2015—the latest complete year for which grants data is available¹—336 foundations made 2,908 grants, totaling \$351 million, in support of peace and security work.

In our three years of mapping peace and security grants, we have continually sought to improve the *Index* by collecting and responding to feedback. As a result, the *Index* now contains more issues (e.g., cybersecurity), provides a more detailed level of analysis of those issues, and offers a glimpse of more recent data. Despite these improvements, a persistent challenge to improving the *Index* is our dependency on grant descriptions, which are sometimes missing or lacking detail. More detailed grant descriptions (see below) would enable us to better capture peace and security funding, which in turn will help us better facilitate collaboration and increase transparency in the overall field.

Detailed grant descriptions in the data support a nuanced portrait of funding for peace and security work that crosscuts populations, strategies, issues, and regions. For example, we can track not only how much funding went towards conflict and atrocity prevention (\$13.2 million in 2015) or the Middle East (\$48.5 million), but how much funding for the Middle East was focused on conflict and atrocity prevention (\$612,000). This level of specificity allows foundations and other

stakeholders to more easily identify partners, and work together to focus their investments to avoid duplication and better leverage their comparative advantages. Given the enormity of global peace and security challenges relative to the amount of funding available (less than one percent of overall foundation giving goes towards peace and security issues), collaboration is imperative for increasing the impact of peace and security grants.

Supplying detailed grant descriptions is also a way for foundations to boost transparency around peace and security funding. In an era where the integrity and value of foundations, philanthropy, and the non-profit sector is being questioned, and sometimes repressed, increased transparency provides a means to counter the spread of misinformation about how funds are being used.

Ultimately, the quality of the *Index* directly depends on the grants data that we're able to collect. We encourage foundations to share timely and detailed information about their grantmaking via **Foundation Center's eReporting program**. Indeed, to the extent that greater knowledge sharing across the field can increase the effectiveness of peace and security grantmaking, we hope foundations will see the contribution of data as part of their broader efforts to increase global peace and security.

— Rachel LaForgia and Alexandra I. Toma,
Peace and Security Funders Group

THE ANATOMY OF A GOOD GRANT DESCRIPTION

WHAT

What is the primary objective of the grant?

WHO

Who are the intended beneficiaries?

HOW

What are the primary strategies of the grant?

WHERE

Where are the funds targeted?

Example 1

To conduct **research and advocacy** relating to **the ongoing use and recruitment of children into the Afghan National Security Forces as well as some armed non-state groups**.

Example 2

For the creation of a **virtual reality media** piece to highlight **Rohingya refugee** issue, specifically the use of **dangerous speech/hate speech** in **Burma**, serving as an **advocacy** tool.

How Much Foundation Funding Supports Peace and Security?

The following analysis is based on grantmaking by a set of the largest U.S. foundations whose grants data is publicly available. It also includes foundations based in the U.S. and other countries that provide grants data directly to Foundation Center. See Methodology on page 10 for details.

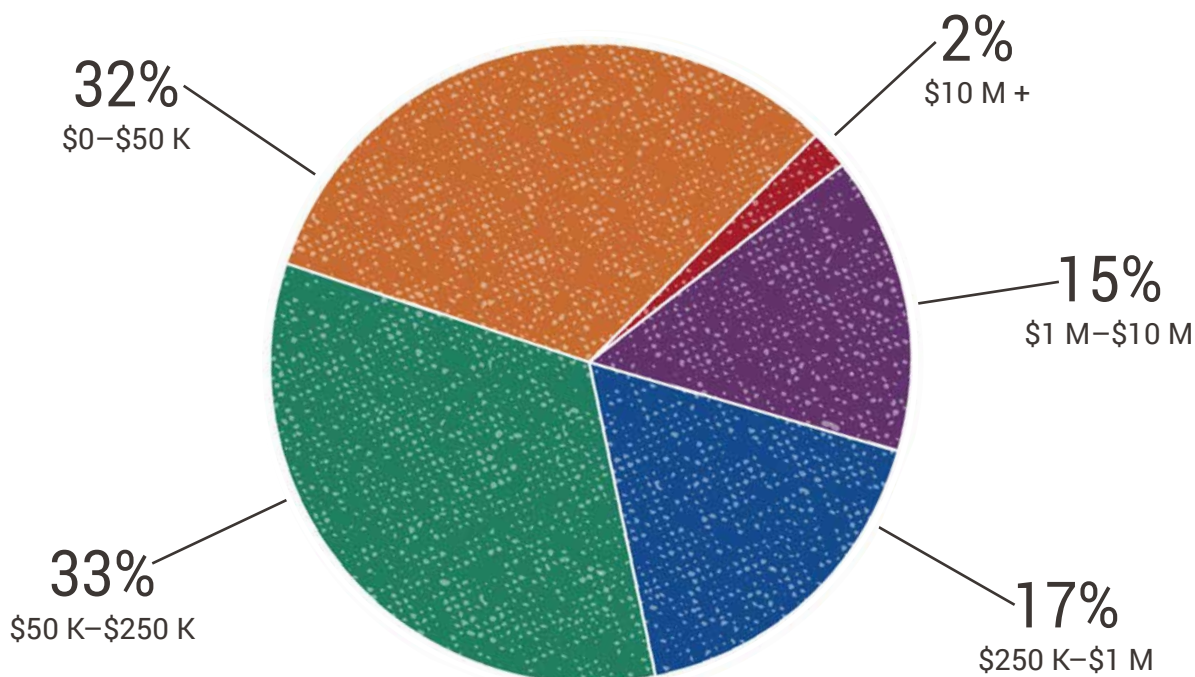
Several large funders dominate the field, but foundations of every size support peace and security. While the top 10 funders of peace and security account for 49 percent of all funding in the *Index*, the majority of funders give at far more modest levels. The median total amount awarded by foundations for peace and security was \$100,000, ranging from less than \$1,000 on the low end to \$47.2 million awarded by the top funder in 2015, Carnegie Corporation of New York. The *Index* contains grants from a diverse collection of funders, ranging from foundations with dedicated peace and security-related programs as well as those who made just one peace and security grant in 2015 (39 percent of funders in the *Index*).

PEACE AND SECURITY IN PERSPECTIVE

For 2015, this research identified \$351 million of funding in support of peace and security—a decline of about \$5 million compared with grantmaking totals from 2014. This modest decrease is somewhat mitigated by the fact that a prominent funder in the 2014 set did not report detailed enough data to determine if their grants met the peace and security criteria.

Funding for peace and security remains comparatively small relative to foundation funding overall—or to that of other sectors. Peace and security grantmaking represented just 0.7 percent of the over \$30 billion given overall by foundations in Foundation Center's 2015 FC 1000 data set.² And the \$351 million allocated for peace and security in 2015 amounts to just 15 percent of the \$2.4 billion that foundations dedicated to human rights issues in the same year.³

TOTAL FOUNDATION GIVING FOR PEACE AND SECURITY BY RANGE, 2015



Source: Foundation Center, 2018. Due to rounding, totals do not equal 100 percent. K=Thousand. M=Million.

SPOTLIGHT

William and Flora Hewlett Foundation: Establishing Norms to Limit International Cyber Conflict

Since 2014, the Hewlett Foundation has supported the Carnegie Endowment for International Peace (CEIP) to work with leading scholars and government officials around the world to develop “rules of the road” to govern the use of offensive cyber capabilities, especially those that could be particularly destabilizing (e.g., attacks on financial institutions and systems). As part of this work, the Carnegie Cyber Policy Project published *Understanding Cyber Conflict: 14 Analogies*, in which scholars and former military and government officials compare various aspects of cyber conflict to other contemporary and historic domains of conflict.

In 2015, the Hewlett Foundation made a grant of \$350,000 to CEIP to continue its exploration of how best to develop international norms to constrain the use of cyber weapons.



TOP PEACE AND SECURITY FUNDERS, 2015

1	Carnegie Corporation of New York	USA	\$47.2 M
2	Foundation to Promote Open Society*	USA	\$22.7 M
3	Oak Foundation	Switzerland	\$17.8 M
4	National Endowment for Democracy	USA	\$16.0 M
5	Nationale Postcode Loterij	Netherlands	\$14.7 M
6	John D. and Catherine T. MacArthur Foundation	USA	\$14.1 M
7	William and Flora Hewlett Foundation	USA	\$10.4 M
8	Rockefeller Brothers Fund	USA	\$10.0 M
9	Ford Foundation	USA	\$9.8 M
10	Humanity United	USA	\$8.4 M
11	Cordaid	Netherlands	\$8.3 M
12	Susan Thompson Buffett Foundation	USA	\$7.0 M
13	Bill & Melinda Gates Foundation	USA	\$6.9 M
14	Ploughshares Fund	USA	\$6.9 M
15	United Nations Foundation	USA	\$4.9 M

Source: Foundation Center, 2018. *Starting in 2014, the Open Society Foundations’ grants data is reported by and attributed to the individual legal entities, such as Foundation to Promote Open Society, that constitute the Foundations.

What Do Peace and Security Grants Support?

This research centers around a framework of three overarching categories that support activities to prevent future conflict, resolve existing conflict, and support stability and resiliency.⁴ The three categories are further broken down into 24 issue areas that more precisely describe funding for peace and security.

PREVENTING AND MITIGATING CONFLICT

Accounting for 26 percent of peace and security funding, this category includes grantmaking to prevent the escalation and outbreak of conflict and mass atrocities, as well as grants made to mitigate active conflict. Additionally, grants in this category look at the tools used in conflict (e.g., nuclear weapons, cyber attacks) and at efforts to mitigate or stop the various manifestations of violence (e.g., terrorism, gender-based violence).

SAMPLE GRANTS

GENERAL	MILITARISM	CYBERSECURITY
Mensen met een Missie \$11,100 to Commission Diocésaine Justice & Paix-Muyinga For peaceful conflict management and promotion of participatory governance in Burundi.	Colombe Foundation \$165,000 to Women's Action for New Directions For general support to WAND, including for its work in the Pentagon Budget Campaign and its programs to reduce Pentagon spending, end militarism, rebalance budget priorities, reduce nuclear weapons, and broker a lasting agreement with Iran.	William and Flora Hewlett Foundation \$500,000 to the New America Foundation To support the further growth of the interdisciplinary Cybersecurity Initiative and to enable New America to expand its conference series, fellowship program, and policy research to include a broader and more diverse network of experts and related content.

RESOLVING CONFLICT AND BUILDING PEACE

Grantmaking captured in this category represents 24 percent of funding for peace and security; it includes support to resolve and end conflict and to build peace, including grants for peace negotiations, transitional justice, and peacebuilding.

SAMPLE GRANTS

CONFLICT RESOLUTION	GENERAL	PEACEBUILDING
El-Hibri Foundation \$17,500 to Salam Institute for Peace and Justice For Say Peace: Conflict Resolution Program for Muslim Communities.	Arca Foundation \$75,000 to J Street Education Fund For the J Street Education Fund, which fights for the future of Israel as the democratic homeland for the Jewish people and believes that Israel's Jewish and democratic character depend on a two-state solution.	GHR Foundation \$200,000 to Catholic Relief Services For the Central African Republic Interfaith Peacebuilding Partnership.

SUPPORTING STABLE, RESILIENT SOCIETIES

Representing the largest share of funding (70 percent), this category includes grants that contribute to building a strong, stable, and peaceful society. Grants in this space cover a wide range of issues, such as national security and foreign policy, climate security, and gender equality. Also included in this category are grants that lack sufficient detail to be categorized elsewhere.

SAMPLE GRANTS

NATIONAL SECURITY, FOREIGN POLICY, DIPLOMACY	GENERAL	GENDER EQUITY
Jubitz Family Foundation \$25,000 to PeaceVoice For an organization devoted to changing the U.S. national conversation about the possibilities of peace and justice and the inadvisability of war and injustice.	Foundation to Promote Open Society \$40,000 to Nau Shawng Education Network To support and enhance a peace, harmonious, and prosperous society in Kachin State, Myanmar.	John D. and Catherine T. MacArthur Foundation \$200,000 to Social Science Research Council In support of a project to better integrate women into the leadership ranks of the national security and private sectors.

FOUNDATION GIVING FOR PEACE AND SECURITY BY ISSUE FOCUS, 2015

ISSUE	AMOUNT	NO. GRANTS
PREVENTING AND MITIGATING CONFLICT	\$105.1 M	728
Conflict and Atrocities Prevention	\$13.2 M	99
Countering Violent Extremism and Counter-Terrorism	\$13. M	84
Cybersecurity*	\$6.9 M	34
Gender-based Violence	\$6.5 M	111
Weapons	\$6.8 M	48
Militarism	\$1.5 M	30
Nuclear Issues	\$45.6 M	266
General—Preventing and Mitigating Conflict	\$11.6 M	56
RESOLVING CONFLICT AND BUILDING PEACE	\$83. M	900
Conflict Resolution	\$9.5 M	85
Demobilization, Disarmament, and Reintegration	\$0.9 M	20
Migration	\$20.2 M	147
Peacebuilding	\$20.5 M	247
Peace Negotiations	\$2.1 M	26
Transitional Justice	\$14.3 M	141
General—Resolving Conflict and Building Peace	\$15.4 M	234
SUPPORTING STABLE, RESILIENT SOCIETIES	\$245.5 M	2,035
Accountability and Transparency	\$21.8 M	88
Climate Security and Natural Resource Management	\$17.6 M	102
Democracy-building	\$19.5 M	312
Gender Equality	\$32.9 M	353
International Development	\$14.1 M	77
International and Regional Institutions	\$4.4 M	41
National Security, Foreign Policy, and Diplomacy	\$40.1 M	288
Rule of Law and Institution-building	\$5.2 M	39
General—Supporting Stable, Resilient Societies	\$89.9 M	735
TOTAL GRANTS	\$350.7 M	2,908

Source: Foundation Center, 2018. Grants may be counted in more than one applicable category. As a result, major category totals may not equal the sum of the associated sub-categories. *Cybersecurity was added as a new category for the 2018 Peace and Security Funding Index.

What Strategies Do Peace and Security Funders Use?

Policy and advocacy remains the leading strategy among peace and security funders. In 2015, foundations directed 31 percent of their peace and security giving for policy and advocacy. The emphasis on funding for policy work in the peace and security space is indicative of funders' commitment to long-term structural change. By comparison, this vastly outpaces the proportion of funding for policy and advocacy awarded for fields that tend to be more service-oriented, like education and health, where grantmaking for policy accounted for just 3 and 5 percent of overall funding totals, respectively.

General support accounts for a modest, but growing, proportion of peace and security giving. Peace and security funders provided 19 percent of funding in the form of general support, up from 16 percent in 2015 and consistent with the proportion of general support provided by U.S. foundations overall. At the same time, the proportion of funders making at least one general support grant for peace and security held steady at just over one-third (35 percent).

SPOTLIGHT

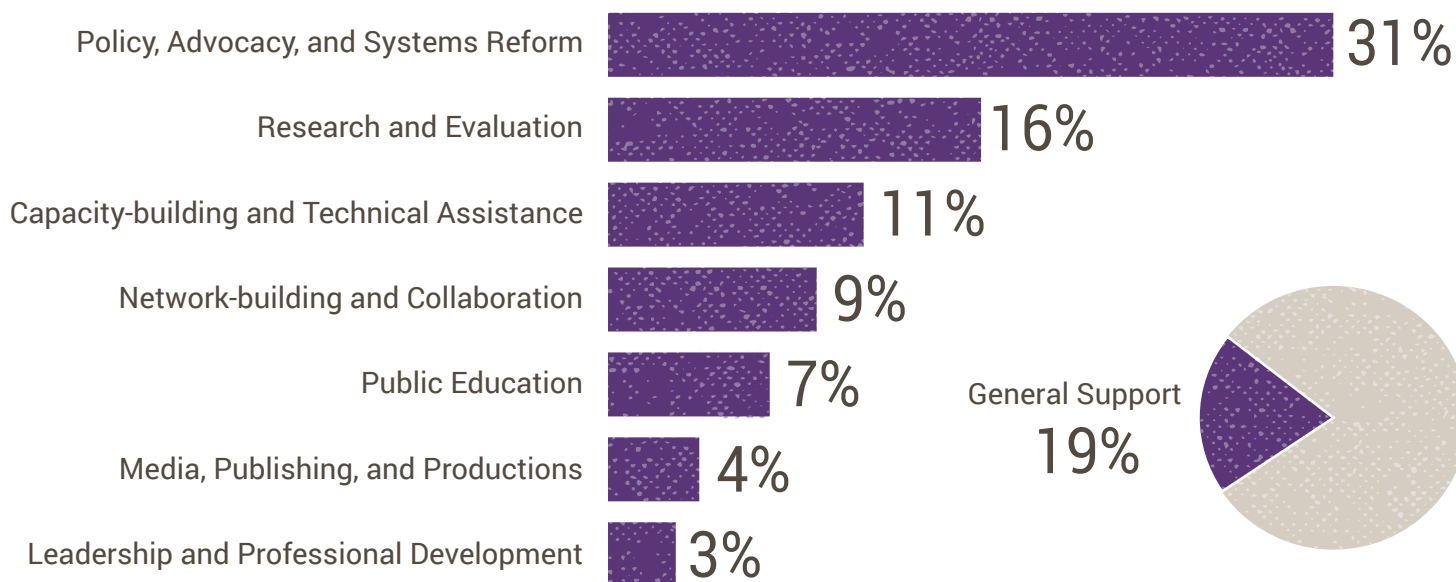
Carnegie Corporation of New York: The Chicago Project on Security and Threats

The Chicago Project on Security and Threats (CPOST) is a wide-ranging international security affairs research institute at the University of Chicago. Carnegie Corporation of New York has supported CPOST since 2008. Over the years, CPOST's work has expanded across an evolving terrain of policy and conflict, assessing both political violence and counterterrorism strategies. Today, the institute is applying its data to sharpen the prediction of terrorism and identify new ways to warn of imminent terrorist attacks.

Carnegie Corporation of New York made a \$500,000 grant in 2015 to the University of Chicago for research and outreach by the Chicago Project on Security and Terrorism.



FOUNDATION GIVING FOR PEACE AND SECURITY BY STRATEGY, 2015



Source: Foundation Center, 2018. Grants may be counted in more than one applicable category. As a result, major category totals may not equal the sum of the associated sub-categories.

Where Do Peace and Security Grants Go?

In 2015, the largest share of grantmaking (35 percent) focused on global activities. Sub-Saharan Africa and North America, which topped the list in 2014, followed with 24 percent and 15 percent, respectively. These figures represent a grant's intended region of benefit, regardless of the recipient location.

The majority of peace and security funding goes to organizations headquartered in North America. While a relatively small percent of funding (15 percent) is intended to address peace and security issues in North America (see above), the vast majority of funding in the field (65 percent) is awarded to organizations based in this region (i.e., the United States or Canada). In terms of grants numbers, organizations based in North America receive 51 percent of the grants awarded for peace and security, suggesting that while there is a substantial proportion of grantmaking happening to non-North American organizations, on average, these recipients receive smaller grants than their North American counterparts.

SPOTLIGHT

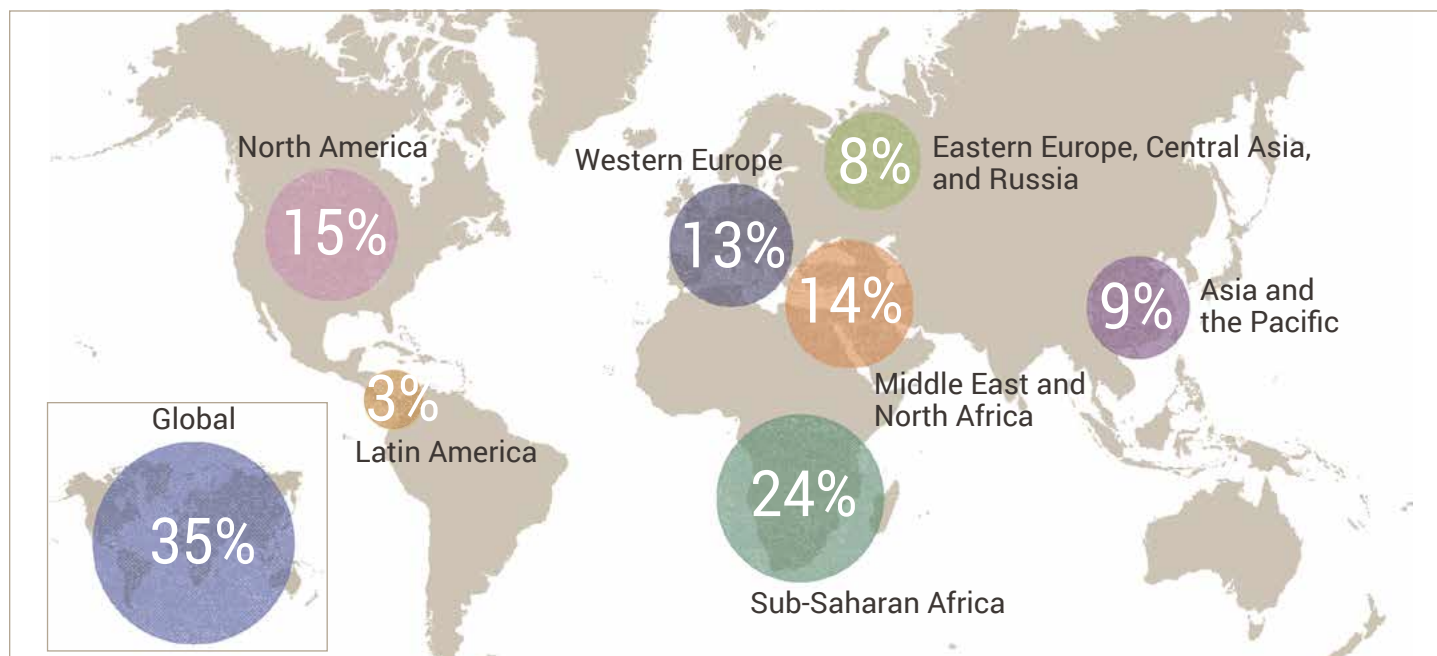
American Jewish World Service: Women are Agents for Peace in Casamance, Senegal



Since 2012, American Jewish World Service (AJWS) has supported the Women's Platform for Peace in Casamance, a movement of more than 170 women's organizations, to mobilize civil society to advocate for an inclusive peace process for the 34-year Casamance conflict. The coalition is working to draw national attention to the prolonged conflict and demanding that its members and their constituencies participate in the national peace negotiations.

In 2015, AJWS made a \$50,000 grant to Plateforme des Femmes pour la Paix en Casamance to increase national and regional support for the Casamance peace process that is inclusive of women and young people.

FOUNDATION FUNDING FOR PEACE AND SECURITY BY REGION SERVED, 2015



Foundation Center, 2018. This map illustrates the proportion of funding intended to benefit a particular region, regardless of funder or recipient location. Grants may be counted in more than one applicable category. Based on the regional classification system used here, the United States and Canada constitute North America, while Mexico and the countries of the Caribbean, Central America, and South America make up Latin America.

Who Is the Focus of Peace and Security Grants?

Just over 65 percent of peace and security grantmaking includes some type of population focus. In 2015, the largest shares of foundation funding focused on children and youth, and women and girls (both with 12 percent), while the grantmaking focused on migrants and refugees was less than half that (5 percent). Grantmaking for academics and researchers, a population group that we don't explicitly track as part of the *Peace and Security Funding Index*, accounted for a full 20 percent of funding in the set.

Methodology

This analysis reflects grantmaking by 336 foundations worldwide that made at least one grant in 2015 consistent with the project's definition of "peace and security" funding.⁴ The data set includes grantmaking by institutional funders, including private foundations, public charities, and community foundations.

Foundation Center and PSFG, along with an advisory group of peace and security grantmakers, developed

strategies to identify grantmaking consistent with the goals of peace and security, and map this giving to a funding framework that would be meaningful to the field. PSFG also identified a set of countries considered to be conflict-affected in 2015, which were used to identify additional peace and security-related grantmaking.

Grants made by one foundation in the Index to another funder are included in top funder list totals, but are not included in other analyses in order to avoid double-counting. For example, a grant from the Rockefeller Brothers Fund (RBF) made to Ploughshares Fund for its Iran work is considered when calculating both RBF's and Ploughshares' respective ranks as peace and security funders, but is not counted twice toward the "Nuclear Issues" category. Finally, the full value of each grant has been applied toward the totals for all issue, region, strategy, and population focus areas for which that particular grant was relevant. For example, if a grant is coded as supporting work in both North America and Europe, the total amount of the grant is applied to each region.

SPOTLIGHT

Compton Foundation: Linking Climate and Security

The Compton Foundation believes that finding new approaches to addressing complex global challenges often involves funding at the nexus between issues. The Center for Climate and Security recognizes that climate change risks to security are unprecedented in human history and that it is in the security interests of the global community to act to mitigate and adapt to these risks. Compton's 2015 general support grant to the Center supported analysis on their "Climate and Security" blog and research on a range of issues including food and water security. It also enabled the Center to lead the influential Climate and Security Working Group, which brings together a bipartisan group of military leaders, congressional staff, experts in national security and climate change, and other government officials to develop policy, engage the media, and educate the public about the climate change implications for national security.

In 2015 the Compton Foundation made a \$50,000 general support grant to the Center for Climate and Security.



Endnotes

1. Much of the grants information collected for the *Peace and Security Index* is sourced from IRS tax forms or relied on direct reporting by foundations. As a result, there tends to be a several-year lag from the time a grant is made to when the data is made available to Foundation Center. Grants are then loaded to Foundation Center databases, cleaned, and indexed before researchers can develop a comprehensive annual dataset of peace and security funding.
2. The FC 1000 data set includes all grants of \$10,000 or more awarded by 1,000 of the largest U.S. foundations. Of the 336 funders included in the analysis of peace and security grantmaking presented in this report, 176 were also included in the FC 1000 set for 2015.
3. This figure of total human rights grantmaking for 2015 is based on findings from Advancing Human Rights and annually updated research initiative that Foundation Center produces in partnership with the Human Rights Funders Group.
4. Peace and security work is defined as a wide range of efforts to prevent, mitigate, or resolve conflict and build peaceful, stable societies after a conflict. For the purposes of this project, PSFG's definition of conflict includes recurrent violence involving multiple perpetrators and victims that takes place in locations where there is a partial or complete breakdown in the state's monopoly on violence or perpetrated by the state itself against a civil population.

SPOTLIGHT

Foundation for Middle East Peace: Scholarships for Palestinian Refugees

Since 1948, millions of Palestinians have lived in temporary refugee camps. Higher education provides a pathway to economic and social stability for Palestine refugees and is a key ingredient in building a more peaceful future for Palestinians, Israelis, and the peoples of the Middle East. However, the cost of a university education is prohibitive for many refugee students. The Foundation for Middle East Peace's support for United Nations Relief and Works Agency for Palestine Refugees in the Near East (UNRWA) USA's University Scholarship Fund seeks to help these students to realize their full potential and lift themselves and their families out of poverty.

In 2015 the Foundation for Middle East Peace made a \$30,000 grant to UNRWA for a program that provides scholarships to Palestinian refugees to enable them to attend local universities.



SHARE YOUR GRANTS DATA WITH THE PEACE AND SECURITY FUNDING INDEX!

Foundation Center's eReporting program allows funders to quickly and easily share their grants data for the benefit of the field. The program is part of our larger efforts to ensure that the social sector has the information and knowledge it needs to make informed decisions and maximize impact.

eGrant

How does it work? To become an eReporter all you need to do is:

1. Export a list of your most recent grants data in a spreadsheet.
2. Review the data to make sure there is no sensitive information and everything is as you'd like it to appear.
3. Email your report to egrants@foundationcenter.org.
4. Submit data as often as you'd like, but at least on an annual basis.

To learn more, please visit foundationcenter.org/gain-knowledge/foundation-data/electronic-reporting-program.

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About the Peace and Security Funders Group

The Peace and Security Funders Group (PSFG) is a network of public, private, and operating foundations, and individual philanthropists who make grants or expenditures that contribute to peace and global security. PSFG maintains an informed, engaged and collegial community of funders, whose numbers and investments in the field are steadily increasing. PSFG is dedicated to enhancing the effectiveness of philanthropy that's focused on peace and security issues. To this end, PSFG facilitates the exchange of information and ideas; fosters collaboration; and provides educational opportunities for its members. The Peace and Security Funders Group also encourages new funders to join the field. Learn more at peaceandsecurity.org.

About Foundation Center

Established in 1956, Foundation Center is the leading source of information about philanthropy worldwide. Through data, analysis, and training, it connects people who want to change the world to the resources they need to succeed. Foundation Center maintains the most comprehensive database on U.S. and, increasingly, global grantmakers and their grants—a robust, accessible knowledge bank for the sector. It also operates research, education, and training programs designed to advance knowledge of philanthropy at every level. Thousands of people visit Foundation Center's website each day and are served in its five library/learning centers and at more than 450 Funding Information Network locations nationwide and around the world.

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Designed by Betty Saronson, *Foundation Center*

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